

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Anthony L. Peck

For : CONTINUOUSLY VARIABLE TRANSMISSION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed foreign patent documents are attached. As the Office has waived the requirement under 37 CFR §1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patent application publication, copies of the listed U.S. patents and U.S. patent application publications are not included with the submission of the attached Form PTO 1449. Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making the appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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Page : 2

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

ANTHONY L. PECK

By: Price, Heneveld, Cooper,  
DeWitt & Litton, LLP

3/18/04  
Date

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**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE**
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

**ATTY. DOCKET NO.**  
KIL01 P-434

**SERIAL NO.**
**APPLICANT(S)**

Anthony L. Peck

**FILING DATE**
**GROUP**
**U.S. PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE       | NAME   | CLASS | SUB-<br>CLASS | FILING<br>DATE IF<br>APPRO-<br>PRIATE |
|---------------------|--|-----------------|------------|--------|-------|---------------|---------------------------------------|
|                     |  | 6 0 1 6 7 1 9   | 01/25/2000 | Park   |       |               |                                       |
|                     |  | 5 3 9 2 6 6 4   | 02/28/1995 | Gogins |       |               |                                       |
|                     |  |                 |            |        |       |               |                                       |
|                     |  |                 |            |        |       |               |                                       |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | COUNTRY        | CLASS | SUB-<br>CLASS | TRANSLA-<br>TION |
|---------------------|----|-----------------|----------|----------------|-------|---------------|------------------|
|                     |    |                 |          |                |       |               | Y N              |
|                     | EP | 0 3 7 9 8 4 7   | 08/01/90 | EPO            |       |               |                  |
|                     | GB | 2 3 8 1 5 6 0   | 05/07/03 | United Kingdom |       |               |                  |
|                     |    |                 |          |                |       |               |                  |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

| EXAMINER<br>INITIAL |  |  |
|---------------------|--|--|
|                     |  | U.S. Patent Application Publication No. US 2002/0096002 A1 entitled MECHANICAL TRANSMISSION, Publication Date July 25, 2002, Inventor Gogins               |
|                     |  | U.S. Patent Application Publication No. US 2002/0100340 A1 entitled CONTINUOUSLY VARIABLE TRANSMISSION, Publication Date August 1, 2002, Inventor Buonauro |

| EXAMINER  | DATE CONSIDERED |
|---|-----------------|
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |